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FACSIMILE COVER SHEET

DATE: January 31, 2002

TO: Ms. Stokes

Fax No.: (703) 308-7751

FROM: Holliday C. Heine, Ph.D.

No. of pages transmitted  
(including this page) 10

Our File: ERI-114AX

Time:

Your Ref: Appl. No. 09/912,670

Sent by: Khylo Rhoden

Filed: July 23, 2001

A confirmation copy of this transmission will not be mailed unless  
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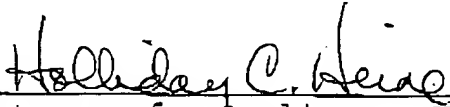
MESSAGE

PLEASE DELIVER DIRECTLY TO:  
Ms. Stokes, Tel. (703) 308-1202

As a follow-up to your conversation with Ms. Chi Suk Kim on January 28, 2002, regarding the Notice of Incomplete Reply mailed 12/12/2001 for the above-referenced application, enclosed are copies of the Response to missing parts, signed Declaration, postcard and Notice of Incomplete Reply. A signed Declaration was submitted to the Patent Office on December 7, 2001, supported by the enclosed copies and postcard date-stamped by the Patent Office on January 16, 2002.

Please advise if we need to submit a response formally to the Notice of Incomplete Reply.

If required, the Commissioner is hereby authorized to Charge Deposit Account No. 23-0804 for any additional filing fees associated with this communication or credit any overpayment.

  
\_\_\_\_\_  
Attorney for Applicant: Holliday C. Heine, Ph.D.  
Registration No. 34,346

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## PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application : Andrew W. Taylor et al.  
Application No. : 09/912,670  
Filed : July 23, 2001  
For : ACTIVATION OF REGULATORY T CELLS BY  
ALPHA-MELANOCYTE STIMULATING HORMONE  
Attorney's Docket : ERI-114AX

Group Art Unit: 1636

\*\*\*\*\*  
I hereby certify that this correspondence is being deposited with  
the United States Postal Service as first class mail in an  
envelope addressed to: Box Missing Parts, Commissioner for  
Patents, Washington, D.C. 20231 on Dec. 7, 2001.

By: Holliday C. Heine  
Holliday C. Heine, Ph.D.  
Registration No. 34,346  
Attorney for Applicant(s)

\*\*\*\*\*

LETTER

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice dated November 15, 2001 (copy  
enclosed), enclosed please find:

- ☒ Missing parts fee in the amount of \$ 65.00.
- ☒ Filing fee in the amount of \$ 853.00.
- ☒ Signed Declaration.

Application No.: 09/912,670

Filed: July 23, 2001

Group Art Unit: 1636

☒ Small entity status is entitled to be, and hereby is, asserted for this application.

☐ A Petition for Extension of Time for \_\_\_\_ month(s) is hereby made, under provisions of §1.136(a); a check in the amount of \$\_\_\_\_\_ is enclosed per §1.17.

☐ Other: \_\_\_\_\_

In the event any additional fee is required, please charge such amount to Patent and Trademark Office Deposit Account No. 23-0804. A triplicate of this transmittal letter is enclosed.

Respectfully submitted,

ANDREW W. TAYLOR ET AL.

By: Holliday C. Heine  
Holliday C. Heine, Ph.D.  
Registration No. 34,346  
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Enclosure  
263038-1



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/912,670	07/23/2001	Andrew W. Taylor	ERI-114AX

CONFIRMATION NO. 6394

## FORMALITIES LETTER



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207  
WEINGARTEN, SCHURGIN, GAGNEBIN & LEOVICI LLP  
TEN POST OFFICE SQUARE  
BOSTON, MA 02109

Date Mailed: 12/12/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 08/27/2001 to the Notice to File Missing Parts (Notice) mailed 11/15/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The required items noted below SHOULD be filed along with any items required above. The filing date of this nonprovisional application will be the date of receipt of the items required above.

- The oath or declaration is unsigned.

*A copy of this notice **MUST** be returned with the reply.*

AM

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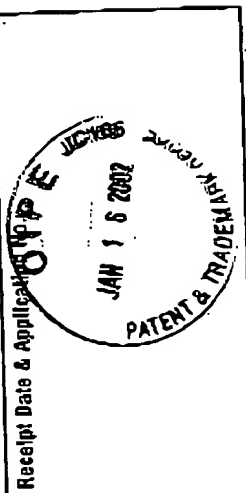
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Applicant: Andersen W. Bergstrom et al.  
 Title: Activation of Regulatory T-cell, Filed: July 23, 2001  
 Application No. 08/1912,670 Patent No. --- Docket No. EPI-1149X

## Enclosed is the Following:

- ☒ Transmittal Letter in triplicate  
 Check(s) for \$853.00 of Spet.,  
☐ Application including Pgs. --- of Abstract  
☒ Declaration and Power of Attorney,  
 Check(s) for \$65.00  
☐ Informal / Formal Drawings,  
 Sheets of Figs. ---  
☐ Letter to Chief Draftsman;  
☐ Amend./Resp. dated ---  
☐ Check(s) for ---  
☐ Information Disclosure Statement, Form 1449,  
--- refs., Check for ---  
☐ Assignment; Check for ---  
☐ Issue Fee; PTO Form 85B&C; Check for ---

Date Mailed: December 18, 2001Date Due: January 15, 2002Initials: HCH/KnrExpress Mail Receipt No. ---☐ Certificate/Letter of Correction,Cover letter & check for ---☐ Notice of Appeal (In Triplicate),Check for ---☐ Petition for Extension of Time forMonths, Check for ---☒ ~~Notice of Appeal~~ Statement of Small Entity Status☐ Certificate of Mailing by Express Mail☐ Other ---



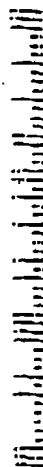
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BY:

JAN 25/2002

WEINGARTEN, SCHURGIN,  
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